

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NO. 1034 <del>232</del> -000019
		U.S. APPLICATION NO. (If known) 10/573,813
INTERNATIONAL APPLICATION NO. PCT/JP2004/014037	INTERNATIONAL FILING DATE 17 September 2004	PRIORITY DATE CLAIMED 28 September 2003
TITLE OF INVENTION BIOCATALYST FOR PRODUCTION OF D-LACTIC ACID		
APPLICANT(S) FOR DO/EO/US Mitsufumi WADA, Toshihiro OIKAWA, Daisuke MOCHIZUKI, Junko TOKUDA, Miyuki KAWASHIMA, Tadashi ARAKI, Reiko ABE, Hitoki MIYAKE, Hitoshi TAKAHASHI, Hideki SAWAI, Takashi MIMITSUKA, Takashi MORISHIGE, Yosuke HIGASHI		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A preliminary amendment.</li> <li>14. <input checked="" type="checkbox"/> A Supplemental Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).</li> <li>20. <input type="checkbox"/> Other items or information: _____</li> </ol>		

U.S. APPLICATION NO. (If known) 10/573,813	INTERNATIONAL APPLICATION NO. PCT/JP2004/014037	ATTORNEY'S DOCKET NO. 1034232-000019
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The following fees have been submitted:				CALCULATIONS	PTO USE ONLY
21. <input type="checkbox"/>	Basic National fee (37 CFR 1.492(a)) (1631) \$ 300			\$ 0	
22. <input type="checkbox"/>	Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) (1643) \$ 0 All other situations (1633) \$ 200			\$ 0	
23. <input type="checkbox"/>	Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) (1640) \$ 0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority (1641) \$ 100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB (1642) \$ 400 All other situations (1632) \$ 500			\$ 0	
<b>TOTAL OF 21, 22 AND 23 =</b>				<b>\$ 0</b>	
<input type="checkbox"/>	Additional fee for specifications and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$ 250 (1681) for each additional 50 sheets of paper or fraction thereof.				
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE	
0	-100 = 0	/50 = 0	0	x \$ 250	\$ 0
<input checked="" type="checkbox"/> Surcharge of \$ 130 (1617) for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$ 130	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	37	- 37 = 0	x \$ 50 (1615)		\$ 0
Independent Claims	7	- 7 = 0	x \$ 200 (1614)		\$ 0
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$ 360 (1616)		\$ 0
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 130</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2				0	
<b>SUBTOTAL =</b>				<b>\$ 130</b>	
Processing fee of \$ 130 (1618) for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				0	
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 130</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$ 40 per property				0	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 130</b>	
08/02/2006 MKAYPAGH 00000121 10573813				Amount to be refunded:	\$
01 FC:1617 130.00 00				Amount to be charged:	\$

a. ☐ A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 02-4800 in the amount of \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

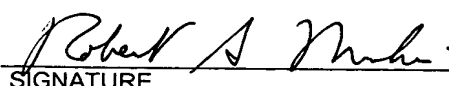
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4800. A duplicate copy of this sheet is enclosed.

d. ☒ Charge \$ 130 to credit card. Form PTO-2038 is attached.

**NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.**

SEND ALL CORRESPONDENCE TO:

Customer No 21839  
P.O. Box 1404  
Alexandria, VA 22313-1404  
703 836 6620  
Date: July 27, 2006

  
SIGNATURE

Robert G. Mukai  
NAME

28531  
REGISTRATION NO.